



# Chandrakala Broking Services Pvt. Ltd.

Ensuring Trustworthy Services

MEMBER: BSE NSE LTD

CIN: U67120RJ2011PTC034909

GST: 08AAECC4088M1Z7

Reg. Off: New Lane, Choraria Chowk, Gangashahar, Bikaner - 334401

Date: \_\_\_\_\_

## Due Diligence Report for reporting Demise/Death of KYC holder to KRA

Ref.: Deceased person PAN: \_\_\_\_\_

We have received a demise intimation from joint account holder(s)/nominee(s)/legal representative/family member ('Notifier(s)'). We have examined the documents submitted and have prepared following due diligence report for demise intimation to the KRA system :

1. Verification of the details provided in the Death Certificate as ticked below:

- Death Certificate verified online.
- Death Certificate verified offline.
- Validation Report from ISCO of Stock Exchange/Depository attached.
- Death Certificate not provided.

2. Other details are as follows:-

Sr.No	Details	Description
1	Name of the Deceased Person:	
2	PAN of the Deceased Person:	
3	Notifier:	<input type="checkbox"/> Joint Holder(s) <input type="checkbox"/> Registered Nominee(s) <input type="checkbox"/> Legal Heir(s) <input type="checkbox"/> Other:
4	Notifier Name:	
5	Notifier Relation to deceased person:	
6	Notifier PAN:	
7	Notifier Mobile number:	
8	Notifier Email Id:	
9	Notifier Address:	
10	Other Information:	

Thanking you,

For Intermediary Name Sd/-

Name of Official: Signature & Seal



Letter/Email Format-Intimation of Demise information by the JointHolder(s) / Nominee(s).

Date:

**Chandrakala Broking Services Pvt.Ltd.**

Choraria Chowk New Lane Gangashahar,  
Bikaner,Rajasthan 334401

**Sub.:Intimation of demise information.**

**Ref.:PAN \_\_\_\_\_&Folio/AccountNumber:/AccountNumber**

I/We regret to inform you about the demise having the above PAN / Folio / Account, where I/We is/are the joint holder(s) / registered nominee(s) in the accounts maintained with your organization / entity. Original downloaded / self-attested copy of the Death Certificate is attached for your kind action. I/We am/are enclosing the self attested copy of deceased person for PAN or any other valid ID proof for necessary validation.

Please let us know the procedure and documentation requirements to transmit the units in my/our favour. Also, note my/our contact details for necessary communication /contacts in this regard and not for updation in KYC records or in any of the accounts.

Details	JointHolder1/Nominee1	JointHolder2/Nominee2	Nominee3
Name			
PAN			
Relation			
Mobile			
Email			
Address			

I/We acknowledge and confirm that the information provided above is true and correct to the best of my/ our knowledge and belief. Incase any of the above specified information is found to be false or untrue or misleading or misrepresenting, I/We am/are aware that I/We may be liable for it for any fines or consequences as required under the respective statutory requirements. I/We hereby authorize you to disclose, share, rely, remit in any form, mode, or manner, all / any of the information provided by me, including all changes, updates to such information as and when provided by me to any of the KYC Registration Agency(ies) for necessary action.

Signature:

JointHolder1/Nominee1	JointHolder2/Nominee2	Nominee3

Encl.:

Death certificate – Original downloaded or self-attested copy;

PAN or other ID proof of Deceased person attested by Notifier;

My/ourself-attested PANcard copy(ies)or any otherself-attested valid ID proof.

**TRANSMISSION REQUEST FORM**  
(In case of death of the sole holder)

Application No.		Date	D	D	M	M	Y	Y	Y	Y
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(Please fill all the details in **Block Letters** in English)

To,  
Chandrakala Broking Services Pvt.Ltd.  
Choraria Chowk New Lane Gangashah  
Bikaner,Rajasthan 334401

Dear Sir / Madam,

I/we, Nominee(s) / Successor/ Guardian of the successor or nominee(s) (in case the claimant is a Minor- Date of Birth of the minor\*) Relationship with the minor \_\_\_\_\_ request you to transmit the following securities due to the death of the sole account holder. Original Death Certificate / copy of Death Certificate (duly notarized / attested under seal by a Gazetted Officer) is attached herewith.

**\*Please attach relevant proof**

Name of the deceased BO:  
Account Number of the deceased BO:

DP ID		Client ID																		
Date of the Deceased Sole Holder																				

Kindly transmit all securities in the deceased BO's account mentioned above to the BO account mentioned below.

Details of the Successor (s)

Sr. No	Name of the Successor (s)/Nominee / Legal Heir/Successor to the Estate of the deceased / Administrator of the Estate of the deceased	DP ID	Client ID

Details of Transmission				
Sr. No	Name of the Security	ISIN	Quantity of securities to be transmitted	Percentage

Attach an annexure duly signed by the Nominee(s)/ Successor / Guardian of the successor or nominee(s) (in case of Minor),

if the space above is insufficient.

(Nominees / Successor / Guardian of successor or nominee(s) (in case of Minor)

	<b>Nominee(1) Successor/Guardian of successor/Nominee</b>	<b>Nominee(2) Successor/Guardian of successor/Nominee</b>	<b>Nominee(3) Successor/Guardian of successor/Nominee</b>
Name			
Signature			

======(Please tear here)=====

**Acknowledgement Receipt**

**Application No.**

**Date: -**

We hereby acknowledge receipt of the instructions for transmission of securities from the deceased BO's account to the account of the Nominee(s) / Successor / Guardian of the successor or nominee(s) (in case of Minor), as per details given on the transmission form.

Account number of the deceased BO

DP ID										Client ID							
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<b>Successor BO Name(s)</b>		
<b>First/Sole Holder</b>	<b>Second Holder</b>	<b>Third Holder</b>
Documents Submitted		

Subject to verification.

**Depository Participants Seal & Signature**